

Data Trends in Compliance and Auditing

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Agenda



- Introduction
- Healthicity Compliance and Auditing Survey
- Audit Trends
- Compliance Trends
- Conclusion

Healthicity Survey



Healthicity Survey – April 2017



1,200 Healthcare Professionals
(Auditing, Compliance, Healthcare Executives)

50 Questions



Compliance Trends

Healthicity Survey - Compliance



88%: Orgs have a compliance program

57%: Orgs have a compliance committee

Survey Cont.



31%: Coding and Billing Risk

70%: 0-10 Incidents Reported in 2017

Survey Cont.

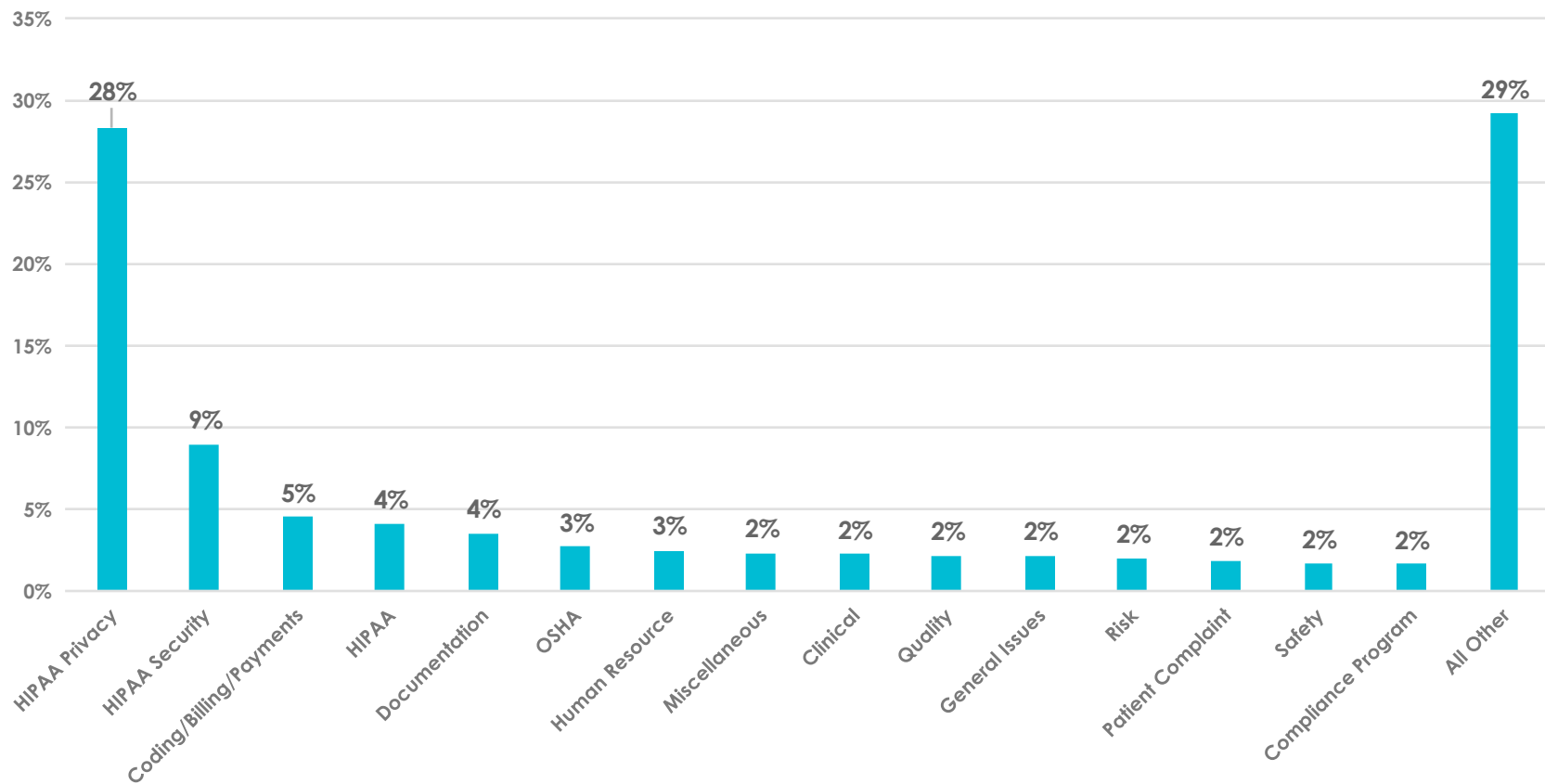


Drivers for Planned Audits:

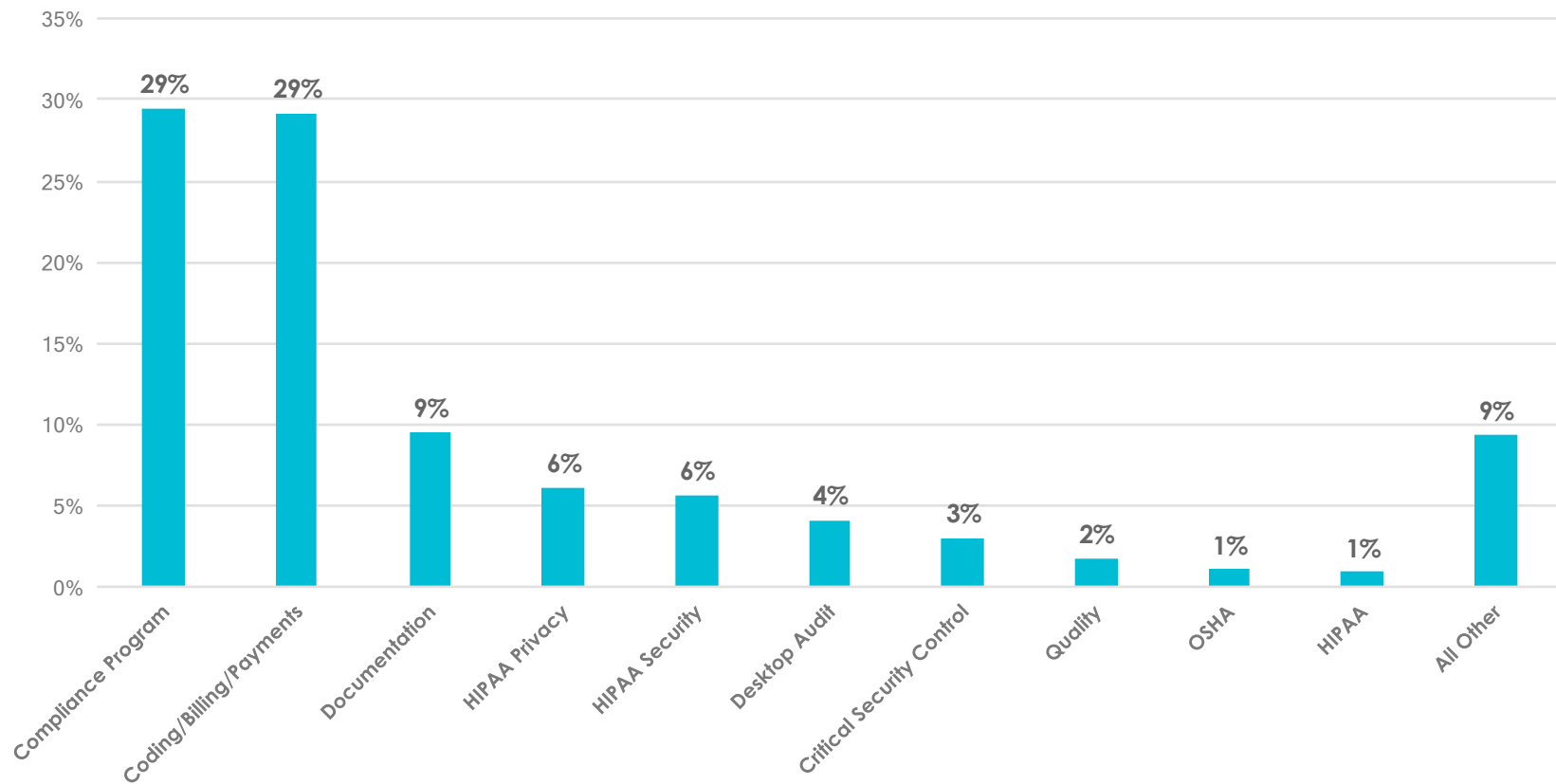
34%: OIG Work Plan

26%: Org/System Mandates

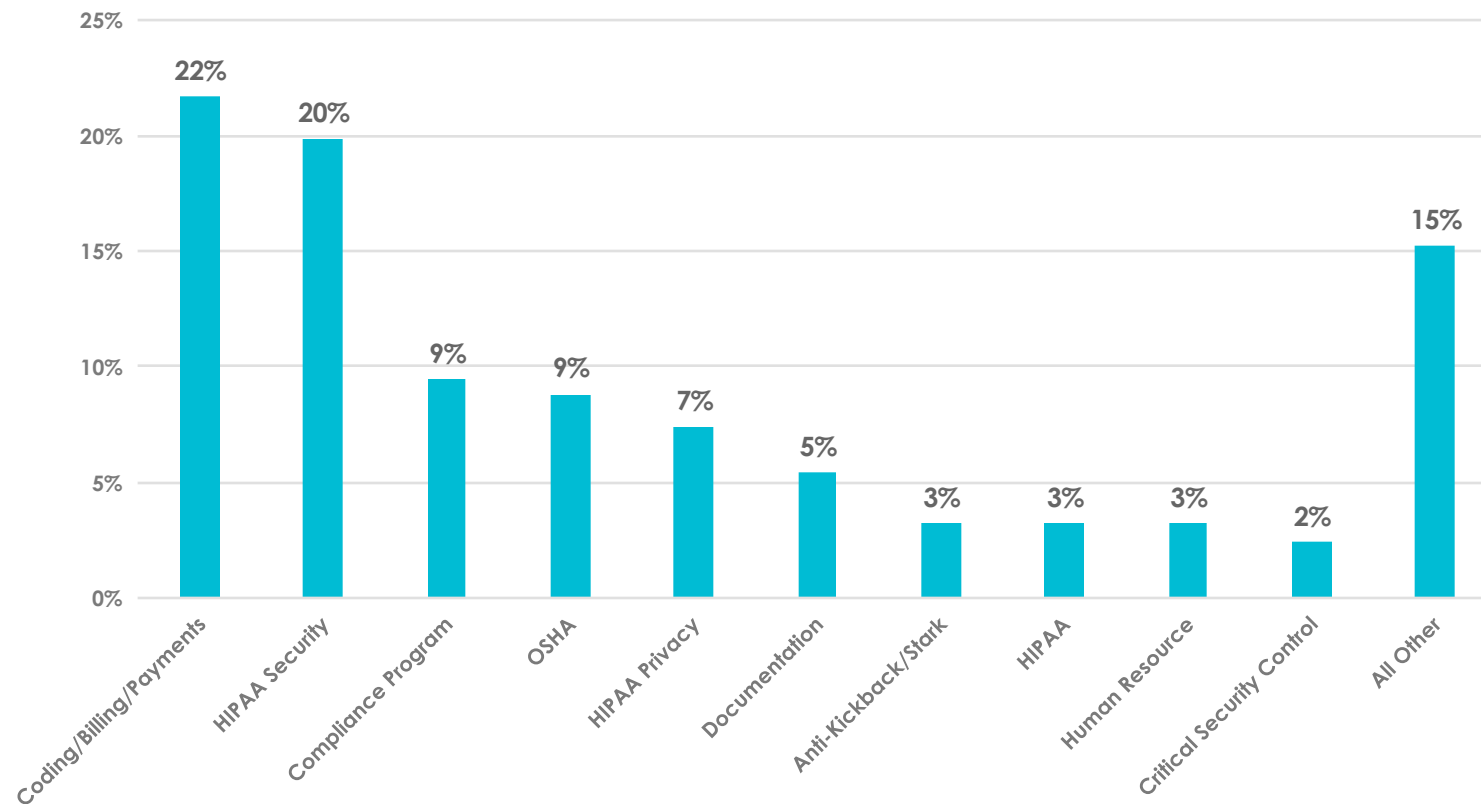
Incident Reporting – Top Reported



Audits – Top Audit Types



Most Common Risk Assessments





Audit Trends

Healthicity Survey



12%: Orgs without coding/billing Compliance Prog

40%: orgs that perform annual audits

Survey Cont.



92%: Orgs that require formal audits

56%: Retrospective formal audits

23%: Orgs with no defined pass rate threshold

38%: Orgs that require re-audit within 30 days

Survey Cont.



75%: Orgs that do not use Data Analytics

31%: Orgs do not use audit software

Survey Cont.



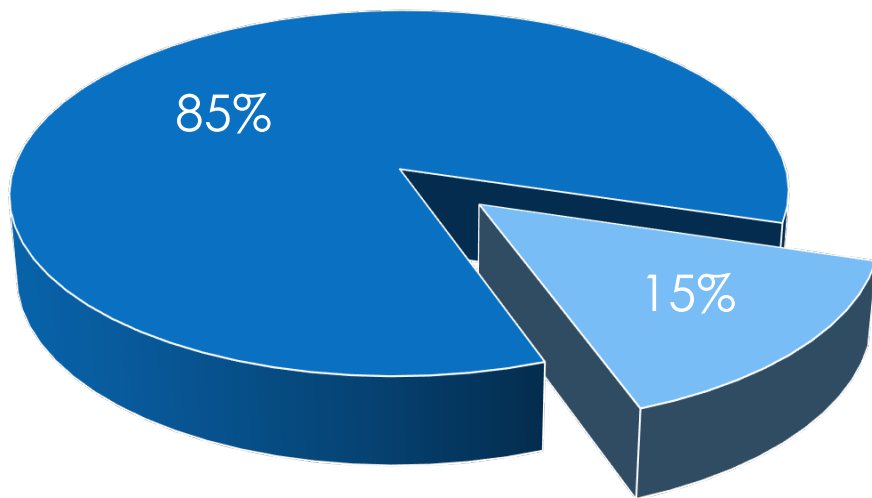
30%: Didn't know if results communicated

23%: Organizations link compensation to
audit results

ICD-10 – How are we doing?

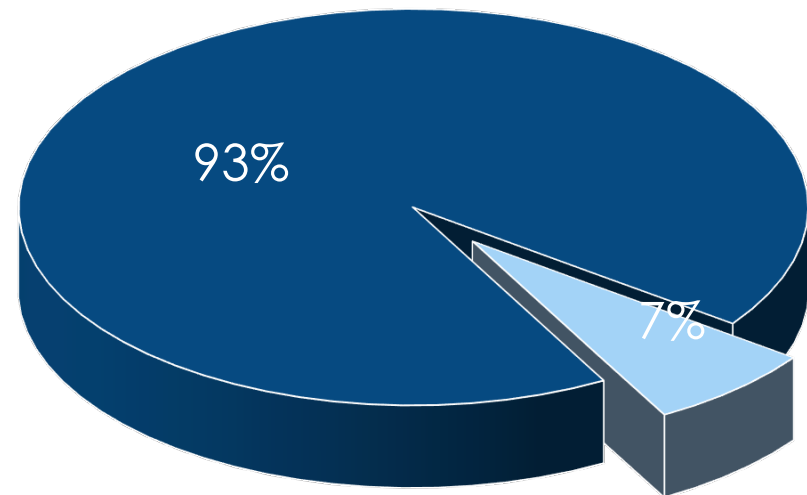


2015 ICD-9 CM



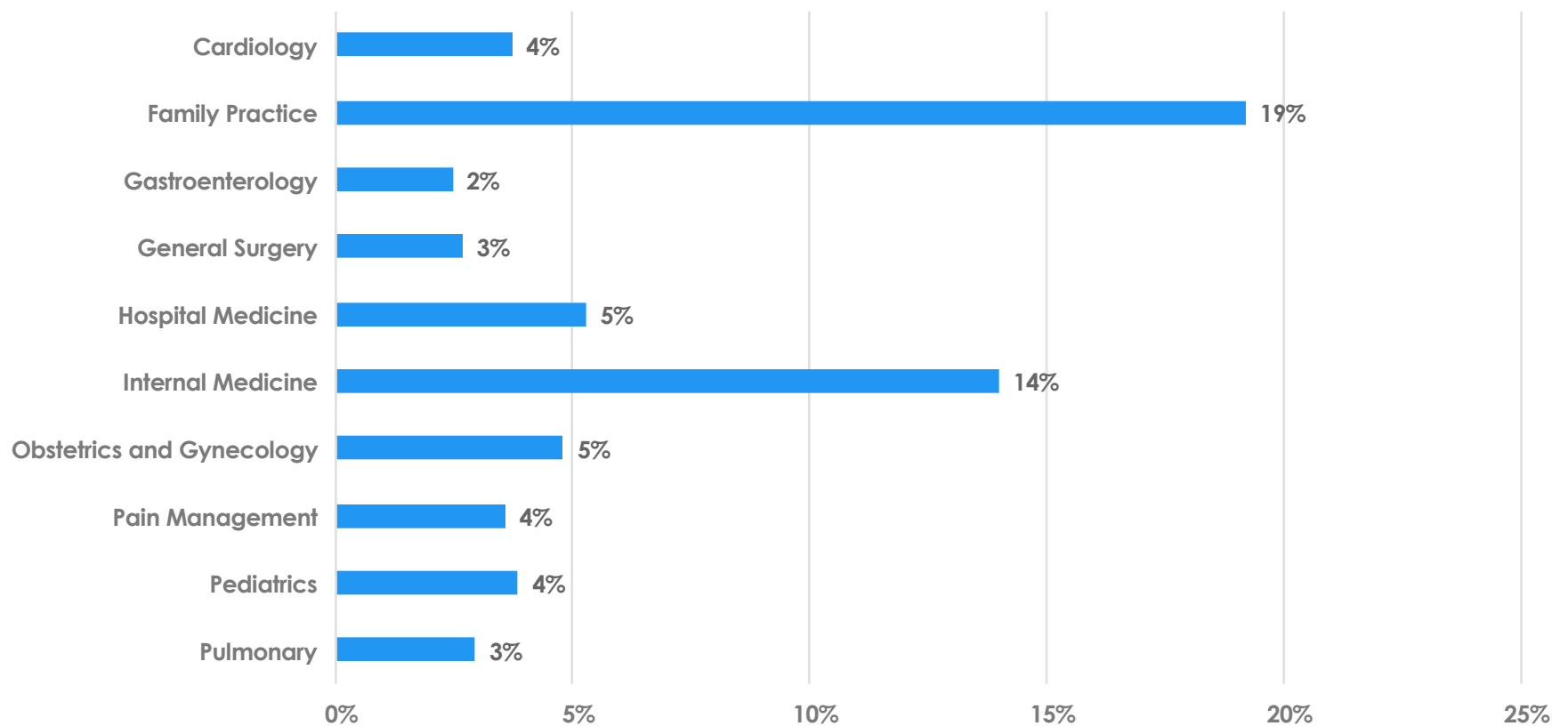
15% Error Rate Based on Audit Trends

2016 ICD-10 CM

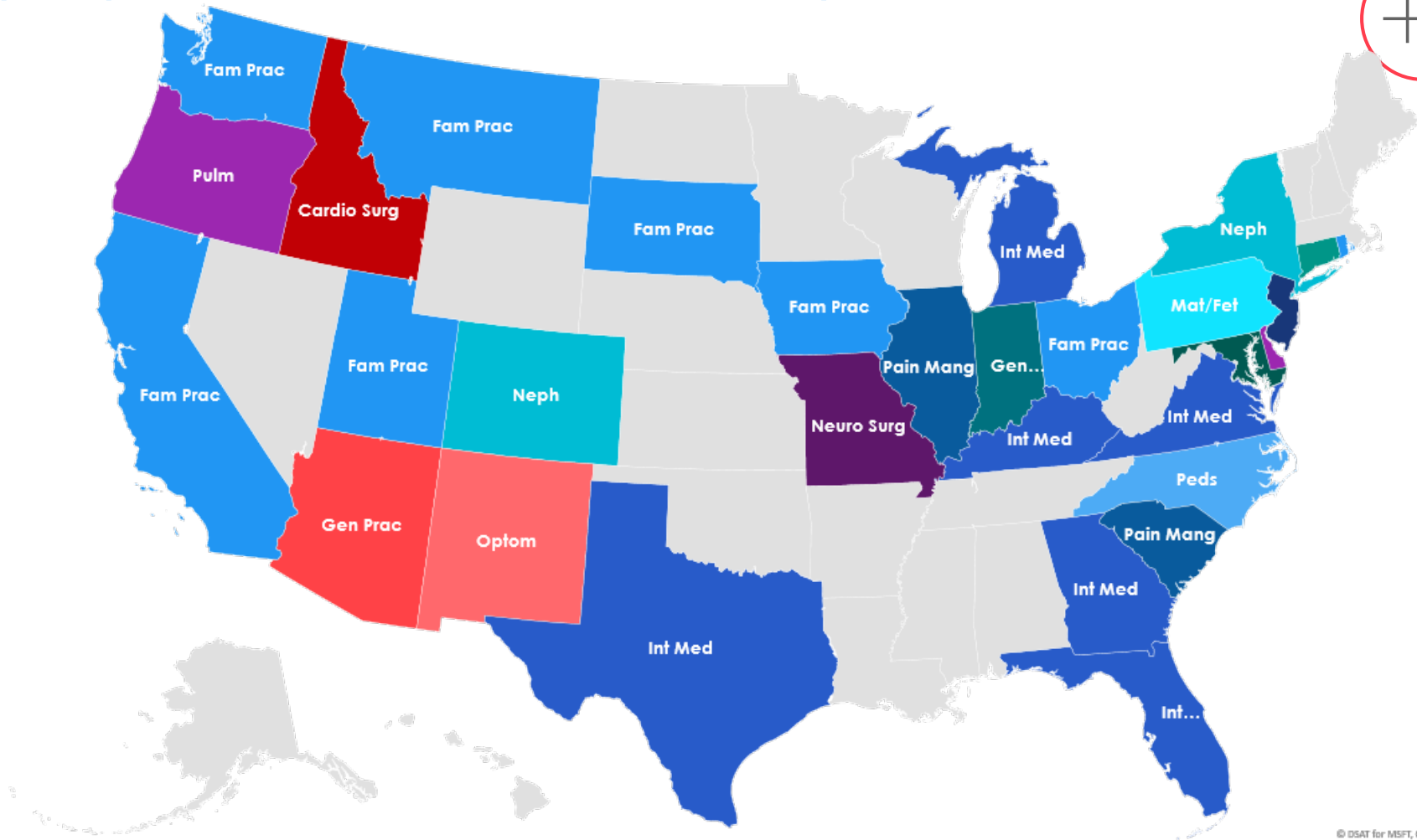


7% Error Rate Based on Audit Trends

ICD-10 – Top Specialties with Errors



Top Specialties with Errors per state

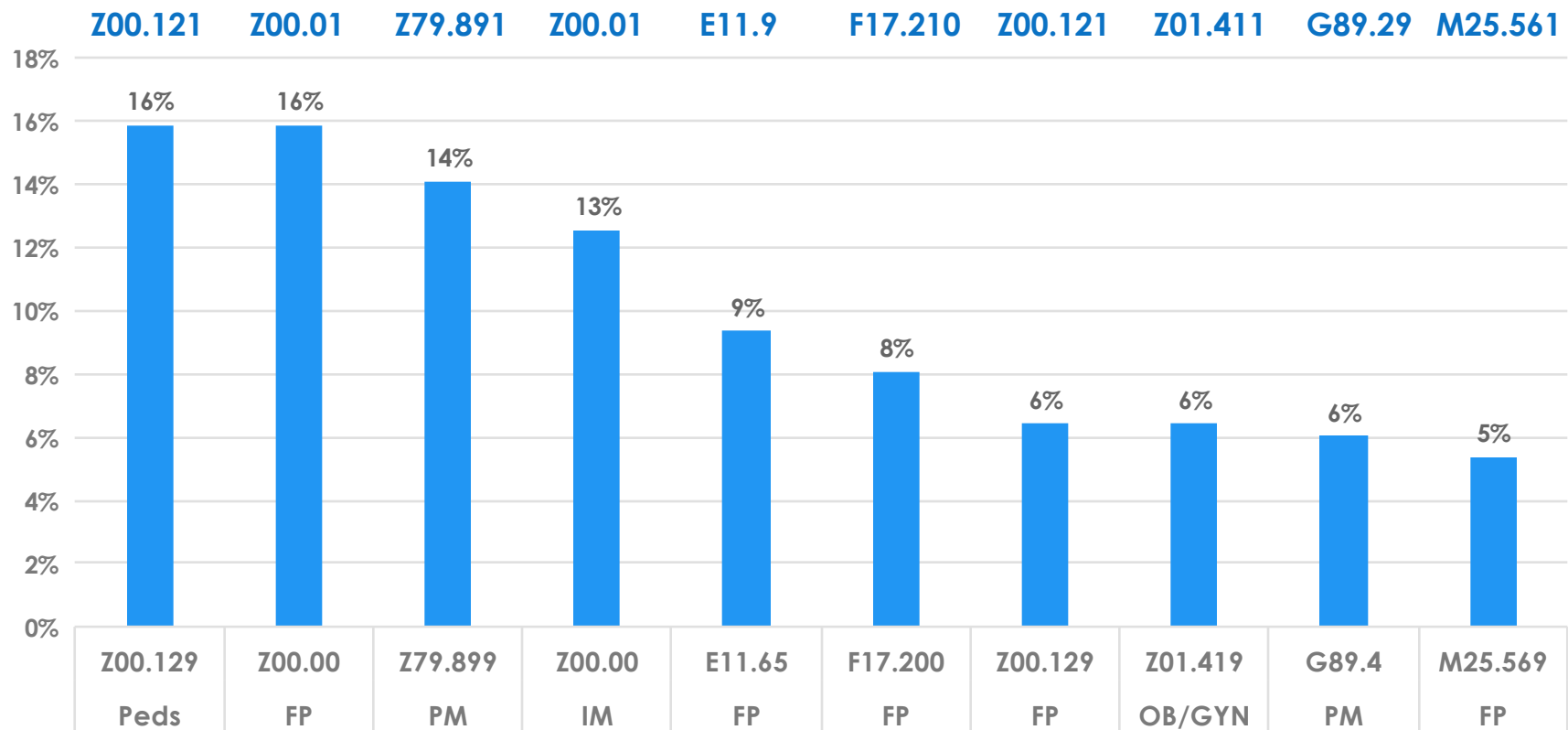


ICD-10 Codes With Errors

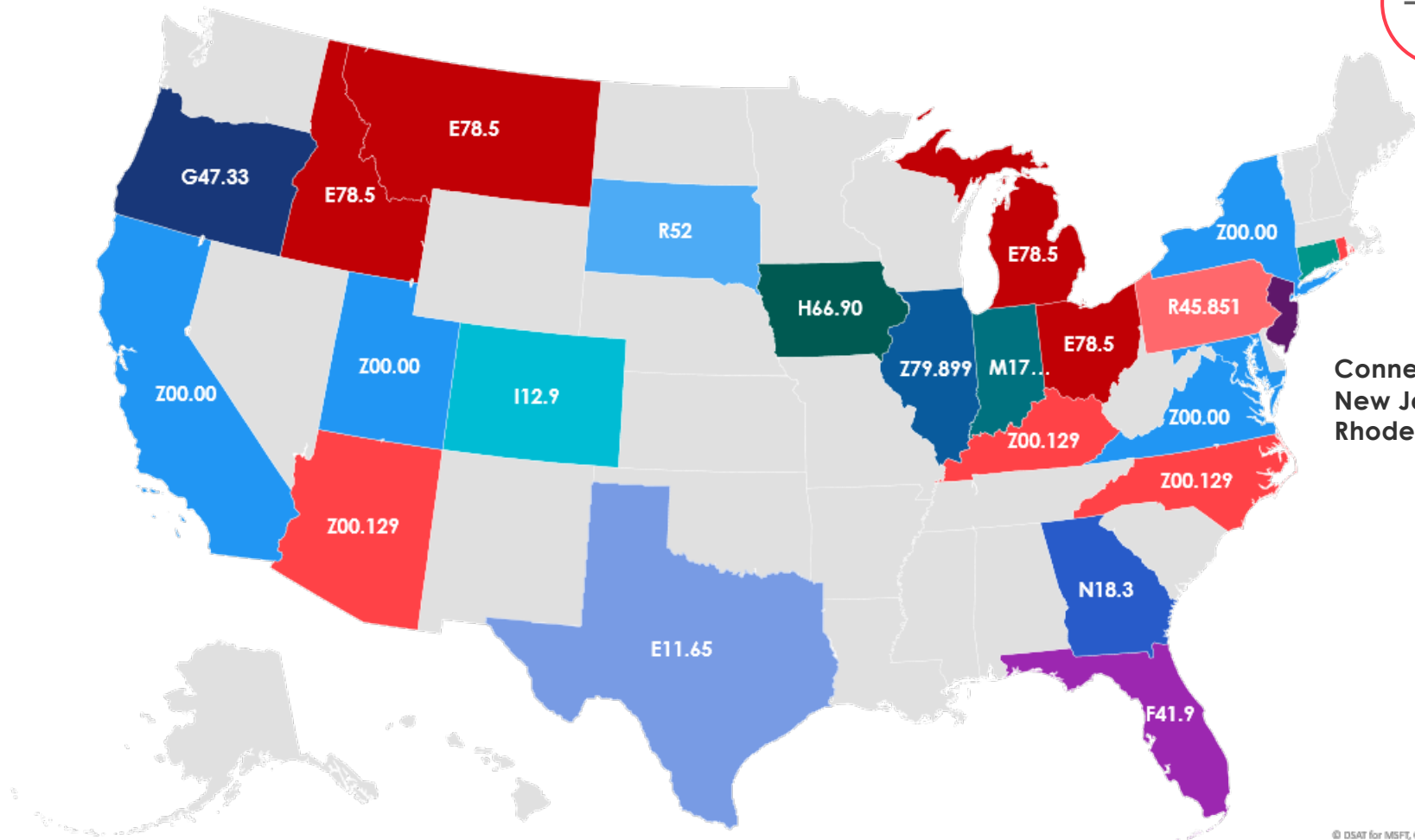


- ICD-10 Root Codes with Errors: **1,228**
- ICD-10 Codes with Errors: **5,679**
 - Percent of top 10 codes to all errors: **8%**

Top 10 Errors - with Specialty



Top Incorrect ICD-10 Code by State



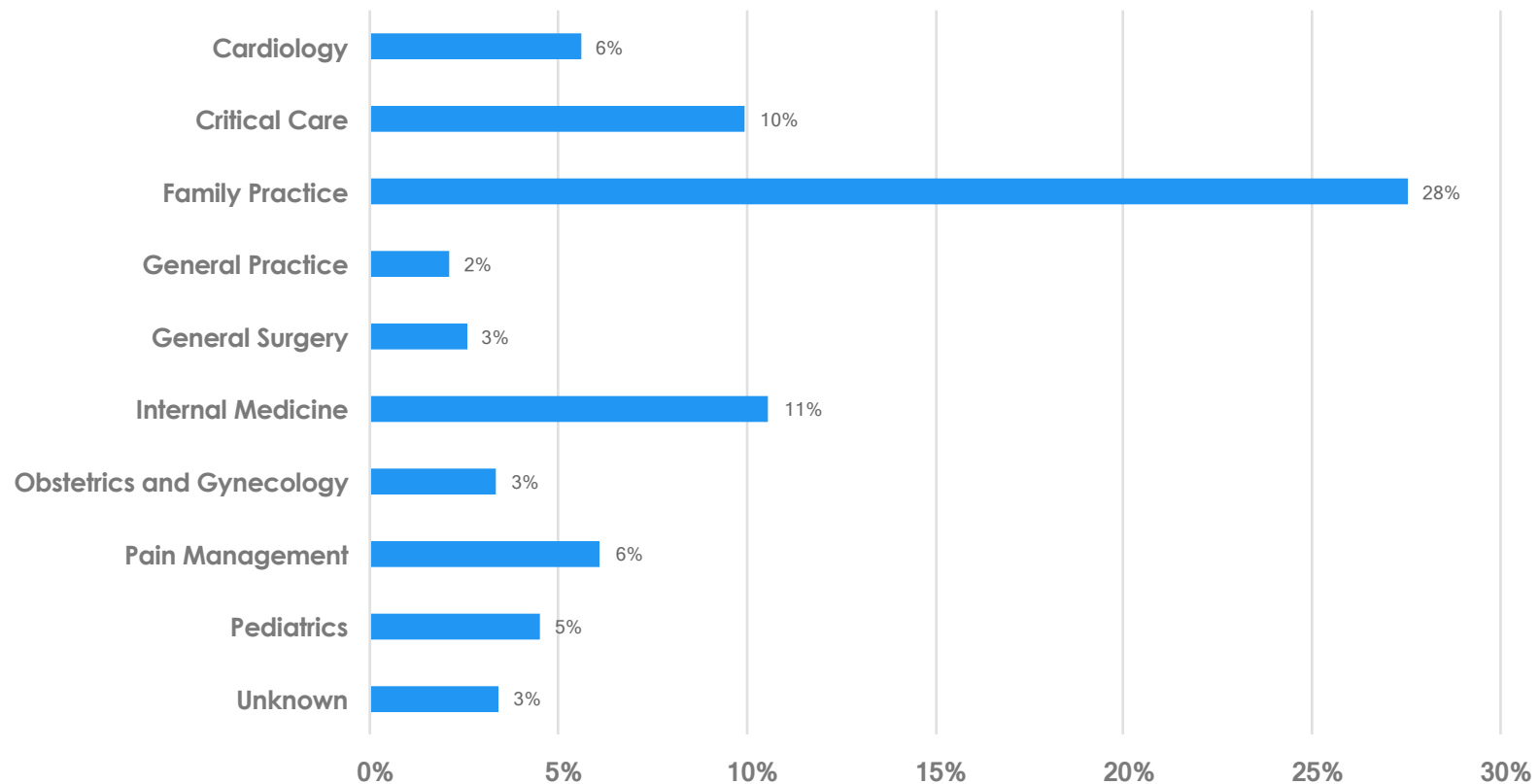
Connecticut: Z20.2
New Jersey: A41.9
Rhode Island: Z00.129

Top 10 Procs with Errors

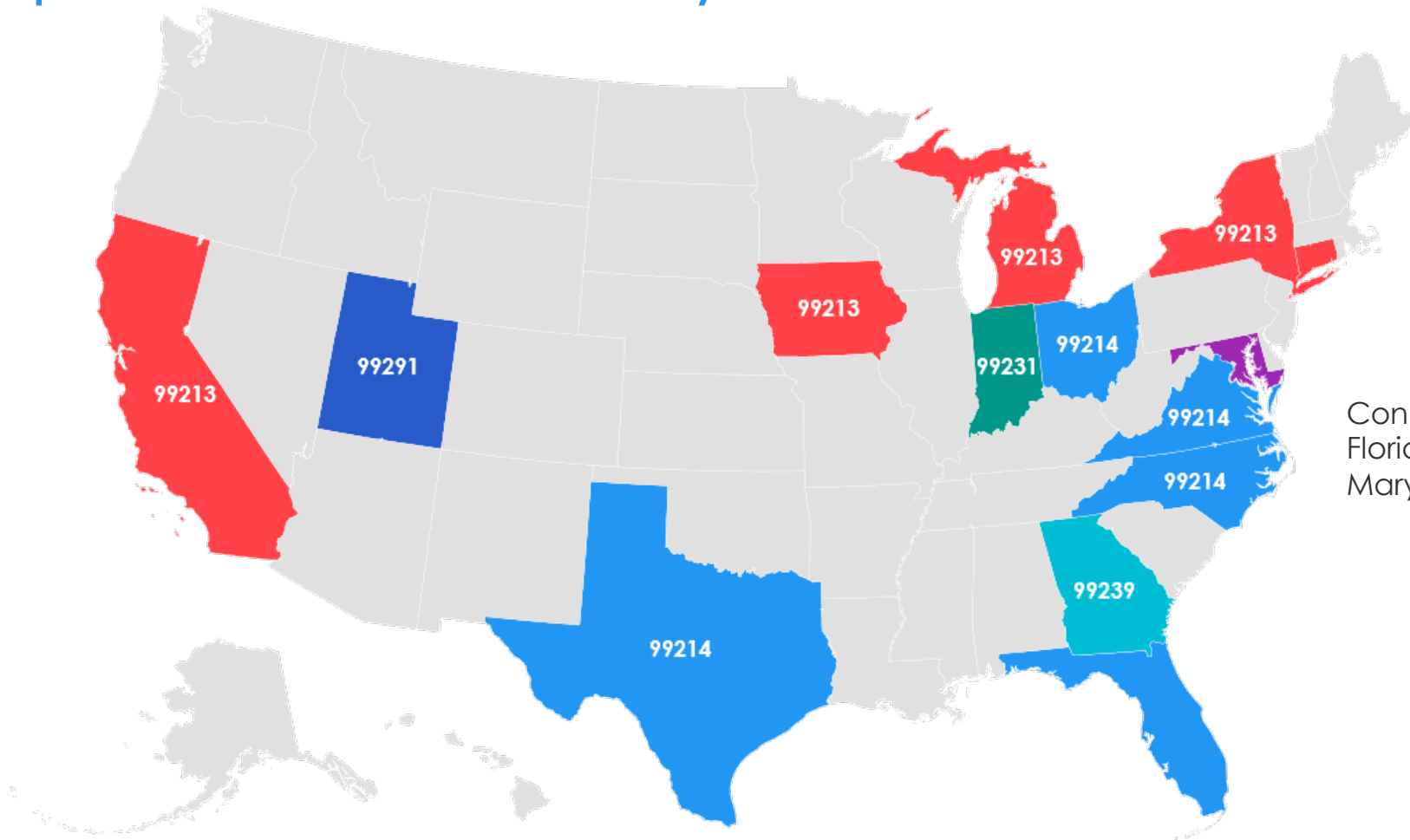
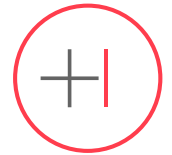


- Procedure Codes with Errors: **2,276**
- Percent of top 10 codes to all errors: **30%**
- Percent Non EM codes: **71%**
- Percent Incorrect EM codes: **29%**

Procedures – Top Specialties with Errors

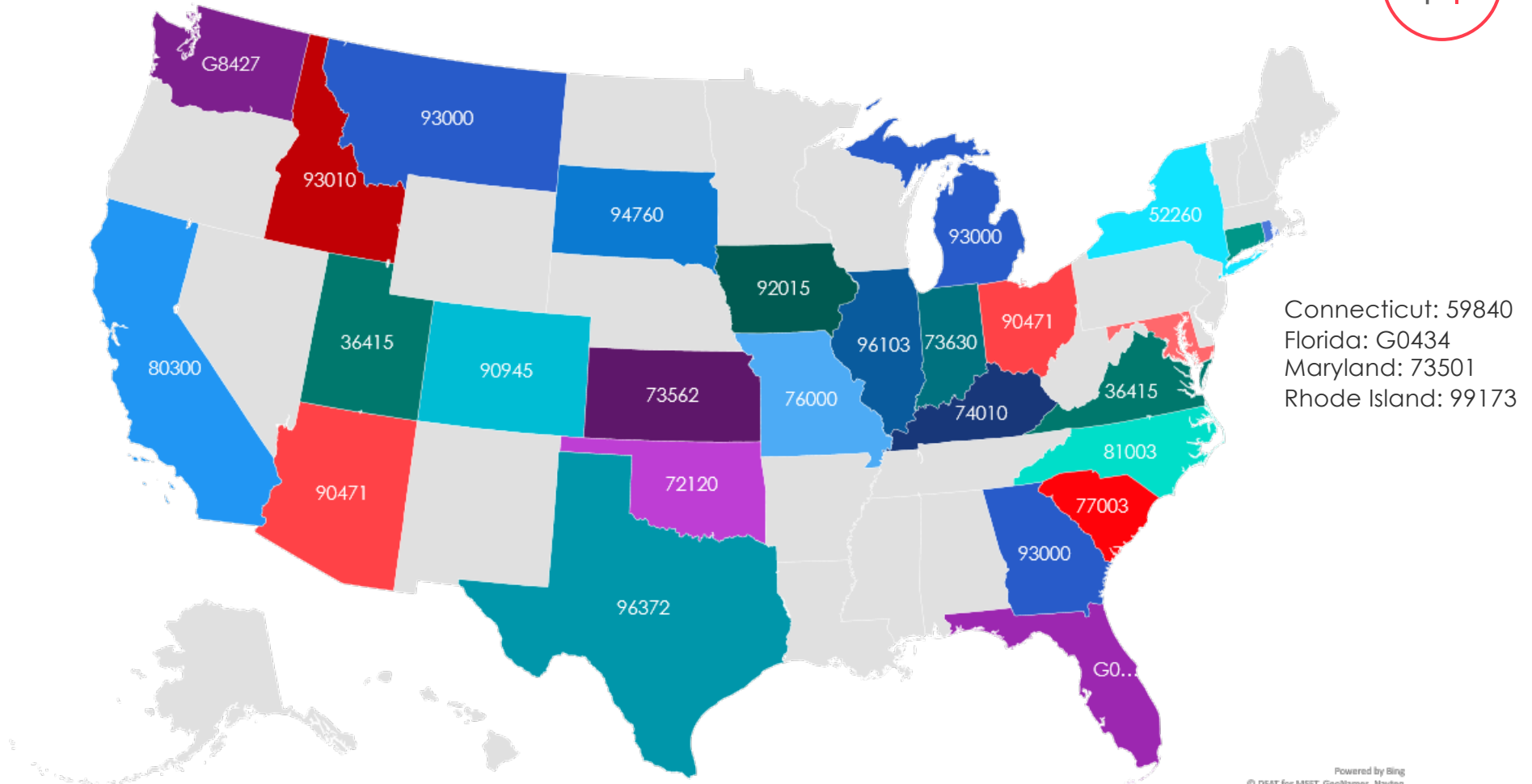
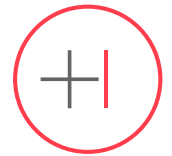


Top Incorrect EM by State



Connecticut: 99213
Florida: 99214
Maryland: 99233

Top Incorrect Non/EM by State



Procedure Documentation Trends



- Verify supporting documentation for specific procedure types:
 - EKG
 - Injection/Immunization
 - Lab
 - Radiology
- Trends:
 - Where procedure was applicable to the audit/documentation:
 - Majority of audits found that the documentation supported specific procedure type
 - Only two cases where the percentage of “No’s” were higher – most likely in 1 practice:
 - Biopsy billed, not documented
 - Radiology contrast was billed, but not documented

Documentation Element Trends

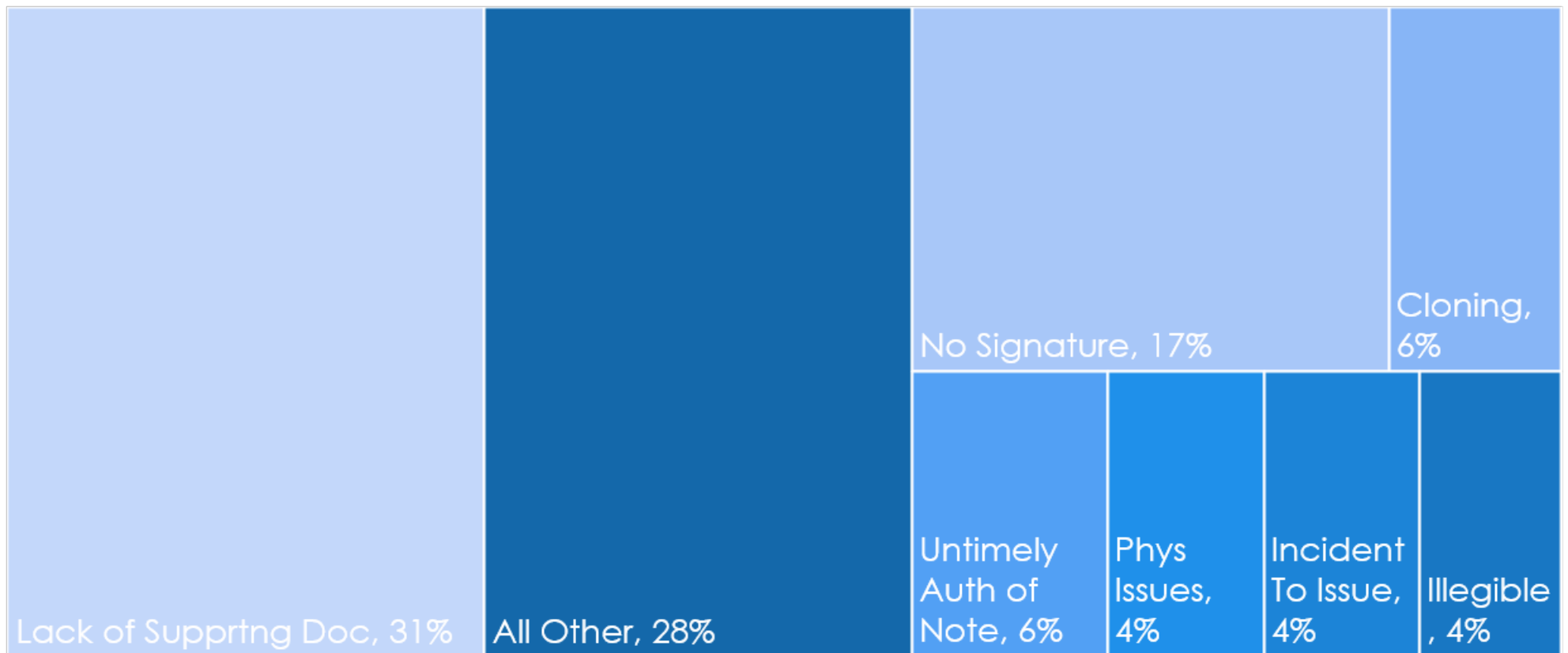
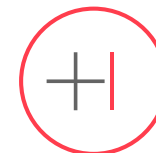
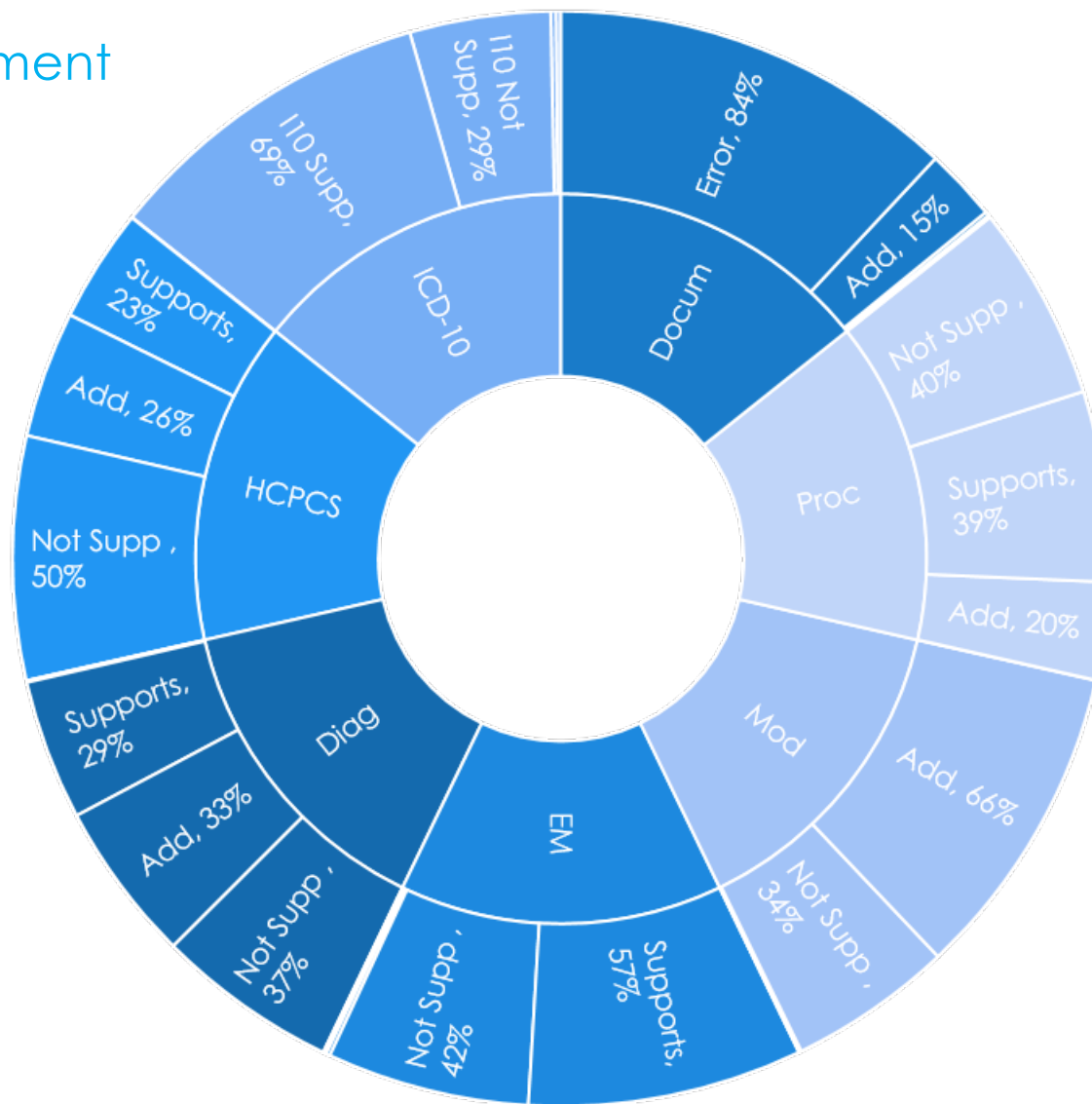


Chart Level Comment Categories



Conclusion



- **Compliance:**

- Majority of organizations have some type of compliance program
- Coding/Billing – biggest risk
- Majority of incidents: HIPAA related issues

- **Auditing:**

- Improvement with ICD-10
 - Top Errors among primary care specialties (Family Practice/Internal Med)
- Non-EM codes made up majority of errors
- Lack of supporting documentation key indicator
- When looking at specific procedure elements – majority supported

- **Survey:**

- Healthicity.com/resources

Questions



photosinbox.com

